

**FEC
FORM 3X****REPORT OF RECEIPTS
AND DISBURSEMENTS**
For Other Than An Authorized Committee

Office Use Only

1. NAME OF
COMMITTEE (in full)**USE FEC MAILING LABEL
OR TYPE OR PRINT** ▼Example: If typing, type
over the lines

E*TRADE Financial Corporation PAC

ADDRESS (number and street)

10951 White Rock Road

☐Check if different
than previously
reported. (ACC)

Rancho Cordova

CA

95670

2. **FEC IDENTIFICATION NUMBER** ▼

CITY ▲

STATE ▲

ZIP CODE ▲

C00356345

3. IS THIS
REPORT☒NEW
(N)**OR**☐AMENDED
(A)4. **TYPE OF REPORT**

(Choose One)

(a) Quarterly Reports:

☐April 15
Quarterly Report(Q1)☐July 15
Quarterly Report(Q2)☐October 15
Quarterly Report(Q3)☐January 31
Quarterly Report(YE)☐July 31 Mid-Year
Report(Non-election
Year Only) (MY)☐Termination Report
(TER)(b) Monthly
Report
Due On:☐

Feb 20 (M2)

☐

May 20 (M5)

☐

Aug 20 (M8)

☐Nov 20 (M11)
(Non-Election
Year Only)☐

Mar 20 (M3)

☐

Jun 20 (M6)

☐

Sep 20 (M9)

☐Dec 20 (M12)
(Non-Election
Year Only)☒

Apr 20 (M4)

☐

Jul 20 (M7)

☐

Oct 20 (M10)

☐

Jan 31 (YE)

(c) 12-Day
PRE-Election
Report for the:☐

Primary (12P)

☐

General (12G)

☐

Runoff (12R)

☐

Convention (12C)

☐

Special (12G)

Election on

in the
State of(d) 30-Day
Post-Election
Report for the:☐

General (30G)

☐

Runoff (30R)

☐

Special (30S)

Election on

in the
State of

5. Covering Period

03

01

2006

through

03

31

2006

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Shawn P. Yadon

Signature of Treasurer

Electronically Filed by Shawn P. Yadon

Date

04

13

2006

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

Office
Use
Only**FEC FORM 3X**
(Rev. 02/2003)

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name
E*TRADE Financial Corporation PAC

Report Covering the Period: From:

| | |
|---|---|
| M | M |
| 0 | 3 |

| | |
|---|---|
| D | D |
| 0 | 1 |

| | | | |
|---|---|---|---|
| Y | Y | Y | Y |
| 2 | 0 | 0 | 6 |

 To:

| | |
|---|---|
| M | M |
| 0 | 3 |

| | |
|---|---|
| D | D |
| 3 | 1 |

| | | | |
|---|---|---|---|
| Y | Y | Y | Y |
| 2 | 0 | 0 | 6 |

| | COLUMN A This Period | COLUMN B Calendar Year-to-Date |
|---|-------------------------|-----------------------------------|
| 6. (a) Cash on Hand January 1 | | 61933.13 |
| (b) Cash on Hand at Beginning of Reporting Period | 61406.99 | |
| (c) Total Receipts (from Line 19) | 17889.70 | 43001.76 |
| (d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B) | 79296.69 | 104934.89 |
| 7. Total Disbursements (from Line 31) | 17078.80 | 42717.00 |
| 8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) | 62217.89 | 62217.89 |
| 9. Debts and Obligations owed TO the committee (Itemize all on Schedule C and/or Schedule D) | 0.00 | |
| 10. Debts and Obligations owed BY the committee (Itemize all on Schedule C and/or Schedule D) | 0.00 | |

☒ This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE OF RECEIPTS

FEC Form 3X (Rev. 02/2003)

Page 3

Write or Type Committee Name

E*TRADE Financial Corporation PAC

Report Covering the Period:

From:

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| M | M | D | D | Y | Y | Y | Y |
| 0 | 3 | 0 | 1 | 2 | 0 | 0 | 6 |

To:

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| M | M | D | D | Y | Y | Y | Y |
| 0 | 3 | 3 | 1 | 2 | 0 | 0 | 6 |

| I. Receipts | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|--|-------------------------------|-----------------------------------|
| 11. Contributions (other than loans) From: | | |
| (a) Individuals/Persons Other Than Political Committees | 15961.15 | 30415.51 |
| (i) Itemized (use Schedule A) | | |
| (ii) Unitemized | 1928.55 | 12586.25 |
| (iii) TOTAL (add Lines 11(a)(i) and (ii) ➡ | 17889.70 | 43001.76 |
| (b) Political Party Committees | 0.00 | 0.00 |
| (c) Other Political Committees (such as PACs) | 0.00 | 0.00 |
| (d) Total Contributions (add Lines 11(a)(iii), (b) and (c)) (Carry Totals to Line 33, page 5) ➡ | 17889.70 | 43001.76 |
| 12. Transfers From Affiliated/Other Party Committees | 0.00 | 0.00 |
| 13. All Loans Received | 0.00 | 0.00 |
| 14. Loan Repayments Received | 0.00 | 0.00 |
| 15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5) | 0.00 | 0.00 |
| 16. Refunds of Contributions Made to Federal candidates and Other Political Committees | 0.00 | 0.00 |
| 17. Other Federal Receipts (Dividends, Interest, etc.) | 0.00 | 0.00 |
| 18. Transfers from Non-Federal and Levin Funds | | |
| (a) Non-Federal Account (from Schedule H3) | 0.00 | 0.00 |
| (b) Levin Funds (from Schedule H5) | 0.00 | 0.00 |
| (c) Total Transfer (add 18(a) and 18(b)). | 0.00 | 0.00 |
| 19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)) | 17889.70 | 43001.76 |
| 20. Total Federal Receipts (subtract Line 18(c) from Line 19) | 17889.70 | 43001.76 |

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

| II. DISBURSEMENTS | | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|---|--|-------------------------------|-----------------------------------|
| 21. Operating Expenditures: | | | |
| (a) Shared Federal/Non-Federal Activity (from Schedule H4) | | 0.00 | 0.00 |
| (i) Federal Share..... | | | |
| (ii) Non-Federal Share..... | | 0.00 | 0.00 |
| (b) Other Federal Operating Expenditures..... | | 78.80 | 217.00 |
| (c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b))..... | | 78.80 | 217.00 |
| 22. Transfers to Affiliated/Other Party Committees..... | | 0.00 | 0.00 |
| 23. Contributions to Federal Candidates/Committees and Other Political Committees..... | | 17000.00 | 42500.00 |
| 24. Independent Expenditure (use Schedule E) | | 0.00 | 0.00 |
| 25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F)..... | | 0.00 | 0.00 |
| 26. Loan Repayments Made..... | | 0.00 | 0.00 |
| 27. Loans Made..... | | 0.00 | 0.00 |
| 28. Refunds of Contributions To: | | | |
| (a) Individuals/Persons Other Than Political Committees | | 0.00 | 0.00 |
| (b) Political Party Committees | | 0.00 | 0.00 |
| (c) Other Political Committees (such as PACs) | | 0.00 | 0.00 |
| (d) Total Contribution Refunds (add Lines 28(a), (b), and (c)) | | 0.00 | 0.00 |
| 29. Other Disbursements..... | | 0.00 | 0.00 |
| 30. Federal Election Activity (2 U.S.C 431(20)) | | | |
| (a) Shared Federal Election Activity (from Schedule H6) | | | |
| (i) Federal Share | | 0.00 | 0.00 |
| (ii) "Levin" Share | | 0.00 | 0.00 |
| (b) Federal Election Activity Paid Entirely With Federal Funds | | 0.00 | 0.00 |
| (c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b)).... | | 0.00 | 0.00 |
| 31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)).. | | 17078.80 | 42717.00 |
| 32. Total Federal Disbursements (subtract Line 21(a)(ii) from Line 30(a)(ii) from Line 31)..... | | 17078.80 | 42717.00 |

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

| III. Net Contributions/Operating Expenditures | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|---|-------------------------------|-----------------------------------|
| 33. Total Contributions (other than loans) from Line 11(d), page 3) | 17889.70 | 43001.76 |
| 34. Total Contribution Refunds (from Line 28(d)) | 0.00 | 0.00 |
| 35. Net Contributions (other than loans) (subtract Line 34 from Line 33) | 17889.70 | 43001.76 |
| 36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))..... | 78.80 | 217.00 |
| 37. Offsets to Operating Expenditures (from Line 15, page 3) | 0.00 | 0.00 |
| 38. Net Operating Expenditures (subtract Line 37 from Line 36) | 78.80 | 217.00 |

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 6 / 30

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

| | | | |
|--|--|--|--|
| A. Full Name (Last, First, Middle Initial) Anthony Altobelli Mailing Address 135 E. 57th Street City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. C Name of Employer E*Trade Securities LLC Occupation Dir. Relationship Management Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 273.00 | | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR1222287716639 Amount of Each Receipt this Period 117.00 P/R Deduction (\$39.00 Bi-Weekly) |
| B. Full Name (Last, First, Middle Initial) Matthew J. Audette Mailing Address 671 North Glebe Road City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. C Name of Employer E*TRADE Bank Occupation Controller Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1082.34 | | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR799168416639 Amount of Each Receipt this Period 463.86 P/R Deduction (\$154.62 Bi-Weekly) |
| C. Full Name (Last, First, Middle Initial) Amit Banerjee Mailing Address 671 North Glebe Road City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. C Name of Employer E*TRADE Financial Corp. Occupation VP, Software Engineering Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 539.00 | | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR1045600916639 Amount of Each Receipt this Period 231.00 P/R Deduction (\$77.00 Bi-Weekly) |

SUBTOTAL of Receipts This Page (optional)

811.86

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 7 / 30

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

| | | |
|---|------------------------------------|--|
| A. Full Name (Last, First, Middle Initial) Betsy Barclay | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR408624816639 |
| Mailing Address 1101 Pennsylvania Avenue NW 6th Floor | | |
| City | State | Zip Code |
| Washington | DC | 20004 |
| FEC ID number of contributing federal political committee. | | Amount of Each Receipt this Period 192.31 |
| Name of Employer E*Trade Financial Corp. | | P/R Deduction (\$192.31 Bi-Weekly) |
| Occupation Chief Government Affairs Officer | | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ 961.55 | |

| | | |
|---|------------------------------------|--|
| B. Full Name (Last, First, Middle Initial) Janice Barrilleaux | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR408624916639 |
| Mailing Address 10951 White Rock Road | | |
| City | State | Zip Code |
| Rancho Cordova | CA | 95670 |
| FEC ID number of contributing federal political committee. | | Amount of Each Receipt this Period 117.00 |
| Name of Employer E*TRADE Brokerage Holdings | | P/R Deduction (\$39.00 Bi-Weekly) |
| Occupation Business Mgr, Brokerage Mgmt | | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ 273.00 | |

| | | |
|---|------------------------------------|--|
| C. Full Name (Last, First, Middle Initial) Rachel Bedell | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR950172516639 |
| Mailing Address 671 North Glebe Road | | |
| City | State | Zip Code |
| Arlinton | VA | 22203 |
| FEC ID number of contributing federal political committee. | | Amount of Each Receipt this Period 117.00 |
| Name of Employer E*TRADE Global Asset Mgmt. Inc. | | P/R Deduction (\$39.00 Bi-Weekly) |
| Occupation Director, Retail Consumer Lending | | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ 273.00 | |

SUBTOTAL of Receipts This Page (optional)

426.31

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 8 / 30

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

| | | | |
|--|--|--|---|
| A. Full Name (Last, First, Middle Initial) James Bidwell Mailing Address 671 North Glebe Road City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. C Name of Employer E*Trade Financial Corp. Occupation Chief Risk Officer Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1346.10 | | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR540115816639 Amount of Each Receipt this Period 576.90 P/R Deduction (\$192.30 Bi-Weekly) |
| B. Full Name (Last, First, Middle Initial) Randall Brown Mailing Address 4005 Windward Plaza Drive City State Zip Code Alpharetta GA 30005 FEC ID number of contributing federal political committee. C Name of Employer E*TRADE Financial Corp. Occupation Director, Network & Systems Admin. Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 273.00 | | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR1045599616639 Amount of Each Receipt this Period 117.00 P/R Deduction (\$39.00 Bi-Weekly) |
| C. Full Name (Last, First, Middle Initial) Hartley Caldwell Mailing Address 1650 Union Hill Road City State Zip Code Alpharetta GA 30005 FEC ID number of contributing federal political committee. C Name of Employer E*TRADE Financial Corp. Occupation VP, Re-Engineering Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 539.00 | | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR950171216639 Amount of Each Receipt this Period 231.00 P/R Deduction (\$77.00 Bi-Weekly) |

SUBTOTAL of Receipts This Page (optional)

924.90

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 9 / 30

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

A. Full Name (Last, First, Middle Initial)
Mitchell Caplan

Mailing Address 671 North Glebe Road

City State Zip Code
Arlington VA 22203

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*Trade Financial Corp.

Occupation
CEO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1346.10

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR408625116639

Amount of Each Receipt this Period

576.90

P/R Deduction (\$192.30 Bi-Weekly)

B. Full Name (Last, First, Middle Initial)

Heather Carroll-Cox

Mailing Address 671 North Glebe Road

City State Zip Code
Arlington VA 22203

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Bank

Occupation
VP, Retail Banking Ops

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

273.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR971304516639

Amount of Each Receipt this Period

117.00

P/R Deduction (\$39.00 Bi-Weekly)

C. Full Name (Last, First, Middle Initial)

Michael Charland

Mailing Address 135 E. 57th St.

City State Zip Code
New York NY 10022

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*Trade Financial Corp.

Occupation
VP, Financial Planning & Analysis

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

539.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1045599916639

Amount of Each Receipt this Period

231.00

P/R Deduction (\$77.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)

924.90

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 10 / 30

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

| | | | | |
|---|--|---|--|--|
| A. Full Name (Last, First, Middle Initial) Michael Curcio | | | Date of Receipt <div> <div>M M / D D / Y Y Y Y Y</div> </div> | |
| Mailing Address 135 East 57th St. 31st Floor | | | Transaction ID: PR799168616639 | |
| City State Zip Code New York NY 10022 | | | Amount of Each Receipt this Period <div>403.86</div> | |
| FEC ID number of contributing federal political committee. <div>C</div> | | | | |
| Name of Employer E*TRADE Securities LLC | | Occupation EVP, Brokerage Retail | | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | | Aggregate Year-to-Date ▼ <div>942.34</div> | | |
| B. Full Name (Last, First, Middle Initial) Gabriel Dalporto | | | Date of Receipt <div> <div>M M / D D / Y Y Y Y Y</div> </div> | |
| Mailing Address 135 E. 57th Street | | | Transaction ID: PR1142911216639 | |
| City State Zip Code New York NY 10022 | | | Amount of Each Receipt this Period <div>231.00</div> | |
| FEC ID number of contributing federal political committee. <div>C</div> | | | | |
| Name of Employer E*TRADE Financial Corporation | | Occupation VP, Customer Development | | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | | Aggregate Year-to-Date ▼ <div>539.00</div> | | |
| C. Full Name (Last, First, Middle Initial) Noel Dalzell | | | Date of Receipt <div> <div>M M / D D / Y Y Y Y Y</div> </div> | |
| Mailing Address 440 S. LaSalle St. | | | Transaction ID: PR971302116639 | |
| City State Zip Code Chicago IL 60605 | | | Amount of Each Receipt this Period <div>117.00</div> | |
| FEC ID number of contributing federal political committee. <div>C</div> | | | | |
| Name of Employer E*TRADE--GVR | | Occupation Director, Trading | | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | | Aggregate Year-to-Date ▼ <div>273.00</div> | | |

P/R Deduction (\$134.62 Bi-Weekly)

P/R Deduction (\$77.00 Bi-Weekly)

P/R Deduction (\$39.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)

751.86

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 11 / 30

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

| | | |
|---|--|--|
| A. Full Name (Last, First, Middle Initial) Edouard Dejoux Mailing Address 135 East 57th Street City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. C Name of Employer E*TRADE Securities, LLC Occupation VP, Global Institutional Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 539.00 | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR1067673016639 Amount of Each Receipt this Period 231.00 P/R Deduction (\$77.00 Bi-Weekly) |
| B. Full Name (Last, First, Middle Initial) Kevin Delo Mailing Address 4500 Bohannon Drive City State Zip Code Menlo Park CA 94025 FEC ID number of contributing federal political committee. C Name of Employer E*Trade Securities LLC Occupation Director, Retail Product Management Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 273.00 | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR1179644516639 Amount of Each Receipt this Period 117.00 P/R Deduction (\$39.00 Bi-Weekly) |
| C. Full Name (Last, First, Middle Initial) Connie Dotson Mailing Address 10951 White Rock Road City State Zip Code Rancho Cordova CA 95670 FEC ID number of contributing federal political committee. C Name of Employer E*Trade Financial Corp. Occupation Chief Communications Officer Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1337.00 | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR540115716639 Amount of Each Receipt this Period 573.00 P/R Deduction (\$191.00 Bi-Weekly) |

SUBTOTAL of Receipts This Page (optional)

921.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 12 / 30

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

Full Name (Last, First, Middle Initial)

A. Ardwin Droessler

Mailing Address 440 South LaSalle

City State Zip Code
 Chicago IL 60605

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE--Dempsey

Occupation
Director, Trading

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

273.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1088490816639

Amount of Each Receipt this Period

117.00

P/R Deduction (\$39.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

B. Stephen Ehrlich

Mailing Address 535 Madison Avenue, 35th Floor

City State Zip Code
 New York NY 10022

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Securities, Inc.

Occupation
VP, Brokerage

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

539.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR540116316639

Amount of Each Receipt this Period

231.00

P/R Deduction (\$77.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

C. Russell Elmer

Mailing Address 671 North Glebe Road

City State Zip Code
 Arlington VA 22203

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*Trade Financial Corp.

Occupation
General Counsel

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1346.10

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR408625316639

Amount of Each Receipt this Period

576.90

P/R Deduction (\$192.30 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)

924.90

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 13 / 30

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

| | | | |
|---|--|--|---|
| A. Full Name (Last, First, Middle Initial) Pam Erickson Mailing Address 60 State Street City State Zip Code Boston MA 02109 FEC ID number of contributing federal political committee. C Name of Employer E*Trade Financial Corp. Occupation VP, Corporate Communications Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 425.00 | | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR1045599716639 Amount of Each Receipt this Period 117.00 P/R Deduction (\$20.00 Bi-Weekly) |
| B. Full Name (Last, First, Middle Initial) Greg Framke Mailing Address 535 Madison Ave 35th Floor City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. C Name of Employer E*Trade Financial Corp. Occupation EVP, Technology Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 945.00 | | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR799168116639 Amount of Each Receipt this Period 405.00 P/R Deduction (\$135.00 Bi-Weekly) |
| C. Full Name (Last, First, Middle Initial) Matthew Geary Mailing Address 671 North Glebe Road City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. C Name of Employer E*Trade Bank Occupation VP, Credit/Mortgage Lending Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 942.34 | | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR950171316639 Amount of Each Receipt this Period 403.86 P/R Deduction (\$134.62 Bi-Weekly) |

SUBTOTAL of Receipts This Page (optional)

925.86

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 14 / 30

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

Full Name (Last, First, Middle Initial)

A. Arlen W. Gelbard

Mailing Address 671 North Glebe Road

City State Zip Code
Arlington VA 22203

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Bank

Occupation
President, E*TRADE Bank

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1346.10

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR408625616639

Amount of Each Receipt this Period

576.90

P/R Deduction (\$192.30 Bi-Weekly)

Full Name (Last, First, Middle Initial)

B. Scott Gelber

Mailing Address 135 E. 57th Street

City State Zip Code
New York NY 10022

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Brokerage Holdings

Occupation
Director, PIERS Admin.

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

273.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1088491016639

Amount of Each Receipt this Period

117.00

P/R Deduction (\$39.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

C. Jane Gelman

Mailing Address 671 North Glebe Road

City State Zip Code
Arlington VA 22203

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*Trade Financial Corp.

Occupation
Director, Administrative Services

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

273.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR673132016639

Amount of Each Receipt this Period

117.00

P/R Deduction (\$39.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)

810.90

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 15 / 30

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

A. Full Name (Last, First, Middle Initial)
MaryBeth George
Mailing Address 671 North Glebe Road

City State Zip Code
Arlington VA 22203

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Capital Holdings

Occupation
Director, Marketing

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

273.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1045607716639

Amount of Each Receipt this Period

117.00

P/R Deduction (\$39.00 Bi-Weekly)

B. Full Name (Last, First, Middle Initial)
David Grove
Mailing Address 440 South LaSalle

City State Zip Code
Chicago IL 60605

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE--Dempsey

Occupation
VP, Market Making Ops

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

539.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1045600316639

Amount of Each Receipt this Period

231.00

P/R Deduction (\$77.00 Bi-Weekly)

C. Full Name (Last, First, Middle Initial)
Paul Halpern
Mailing Address 135 E. 57th Street

City State Zip Code
New York NY 10022

FEC ID number of contributing
federal political committee.

C

Name of Employer
ETrade Financial Corporat-
ion

Occupation
VP, Bank Marketing

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

539.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1142911316639

Amount of Each Receipt this Period

231.00

P/R Deduction (\$77.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)

579.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 16 / 30

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

| | | | |
|---|--|--|--|
| A. Full Name (Last, First, Middle Initial) Daniel Howe Mailing Address 440 South LaSalle City Chicago State IL Zip Code 60605 FEC ID number of contributing federal political committee. C Name of Employer E*TRADE Brokerage Holdings Occupation VP, E*TRADE Securities Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 942.34 | | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR1045600416639 Amount of Each Receipt this Period 403.86 P/R Deduction (\$134.62 Bi-Weekly) |
| B. Full Name (Last, First, Middle Initial) Michael Klena Mailing Address 135 E. 57th Street City New York State NY Zip Code 10022 FEC ID number of contributing federal political committee. C Name of Employer E*TRADE Securities LLC Occupation Director, Relationship Management Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 539.00 | | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR950173016639 Amount of Each Receipt this Period 231.00 P/R Deduction (\$77.00 Bi-Weekly) |
| C. Full Name (Last, First, Middle Initial) Christopher Larkin Mailing Address 135 E. 57th Street City New York State NY Zip Code 10022 FEC ID number of contributing federal political committee. C Name of Employer E*TRADE Securities LLC Occupation Director, Active Trader Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 539.00 | | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR950173116639 Amount of Each Receipt this Period 231.00 P/R Deduction (\$77.00 Bi-Weekly) |

SUBTOTAL of Receipts This Page (optional)

865.86

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 17 / 30

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

| | | | |
|--|--|--|--|
| A. Full Name (Last, First, Middle Initial) Robert Lilien Mailing Address 135 E. 57th Street City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. C Name of Employer E*Trade Financial Corp. Occupation President & COO Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1346.10 | | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR408626116639 Amount of Each Receipt this Period 576.90 P/R Deduction (\$192.30 Bi-Weekly) |
| B. Full Name (Last, First, Middle Initial) Steve Lingeman Mailing Address 671 North Glebe Road City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. C Name of Employer E*TRADE Financial Corp Occupation Director, Software Engineering Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 273.00 | | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR1045600816639 Amount of Each Receipt this Period 117.00 P/R Deduction (\$39.00 Bi-Weekly) |
| C. Full Name (Last, First, Middle Initial) Todd Mackay Mailing Address 671 North Glebe Road City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. C Name of Employer E*Trade Financial Corp. Occupation EVP, Mergers/Acquisitions Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 938.00 | | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR540117016639 Amount of Each Receipt this Period 402.00 P/R Deduction (\$134.00 Bi-Weekly) |

SUBTOTAL of Receipts This Page (optional)

1095.90

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 18 / 30

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

A.

Full Name (Last, First, Middle Initial)

Sunil Malik

Mailing Address 671 North Glebe Road

City State Zip Code
Arlington VA 22203

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Global Asset Mgmt.

Occupation
VP, Whole Loans & MBS

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

539.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR950172416639

Amount of Each Receipt this Period

231.00

P/R Deduction (\$77.00 Bi-Weekly)

B.

Full Name (Last, First, Middle Initial)

Kenneth Marienau

Mailing Address 4005 Windward Plaza Drive

City State Zip Code
Alpharetta GA 30005

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*Trade Financial Corp.

Occupation
Director, Technology Finance

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

539.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR799168216639

Amount of Each Receipt this Period

231.00

P/R Deduction (\$77.00 Bi-Weekly)

C.

Full Name (Last, First, Middle Initial)

John Matos

Mailing Address 135 E. 57th Street

City State Zip Code
New York NY 10022

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Securities LLC

Occupation
Director, Product Management

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

273.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1083003816639

Amount of Each Receipt this Period

117.00

P/R Deduction (\$39.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)

579.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 19 / 30

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

| | | | |
|---|--|--|---|
| A. Full Name (Last, First, Middle Initial) Roderick Mikus Mailing Address 135 E. 57th Street City New York State NY Zip Code 10022 FEC ID number of contributing federal political committee. C Name of Employer E*TRADE Securities LLC Occupation Director, Relationship Management Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 273.00 | | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR1083003716639 Amount of Each Receipt this Period 117.00 P/R Deduction (\$39.00 Bi-Weekly) |
| B. Full Name (Last, First, Middle Initial) Alison Mittelstadt Mailing Address 671 North Glebe Rd. City Arlington State VA Zip Code 22203 FEC ID number of contributing federal political committee. C Name of Employer E*TRADE Capital Holdings Occupation Sr. Mgr, Interactive Marketing Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 273.00 | | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR1030171916639 Amount of Each Receipt this Period 117.00 P/R Deduction (\$39.00 Bi-Weekly) |
| C. Full Name (Last, First, Middle Initial) Shane O. Mulron Mailing Address 10951 White Rock Road City Rancho Cardova State CA Zip Code 95670 FEC ID number of contributing federal political committee. C Name of Employer E*TRADE Brokerage Holdings Occupation VP Finance Brokerage Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 945.00 | | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR408626416639 Amount of Each Receipt this Period 405.00 P/R Deduction (\$135.00 Bi-Weekly) |

SUBTOTAL of Receipts This Page (optional)

639.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 20 / 30

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

A. Full Name (Last, First, Middle Initial)
Michael Murphy
Mailing Address 135 E. 57th Street

City State Zip Code
New York NY 10022

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Securities, LLC

Occupation
Director, Relationship Mgmt.

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

273.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1055089316639

Amount of Each Receipt this Period

117.00

P/R Deduction (\$39.00 Bi-Weekly)

B. Full Name (Last, First, Middle Initial)
Marco Pellini
Mailing Address 440 South LaSalle

City State Zip Code
Chicago IL 60605

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE--Dempsey

Occupation
Director, Trading Ops

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

539.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR971304116639

Amount of Each Receipt this Period

231.00

P/R Deduction (\$77.00 Bi-Weekly)

C. Full Name (Last, First, Middle Initial)
Carol Phelan-Marsh
Mailing Address 671 North Glebe Road

City State Zip Code
Arlington VA 22203

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*Trade Financial Corp.

Occupation
VP, Marketing

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

539.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1012220416639

Amount of Each Receipt this Period

231.00

P/R Deduction (\$77.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)

579.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 21 / 30

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

Full Name (Last, First, Middle Initial)

A. Todd W. Roadman

Mailing Address 10951 White Rock Road

City State Zip Code
 Cordova CA 95670

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*Trade Financial Corp.

Occupation
Director, Financial Planning & Analysis

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

539.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR408626616639

Amount of Each Receipt this Period

231.00

P/R Deduction (\$77.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

B. Elizabeth Scholz

Mailing Address 671 North Glebe Road

City State Zip Code
 Arlington VA 22203

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Global Asset Mgmt,
Inc.

Occupation
Director, Consumer Finance Development

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

273.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1016861516639

Amount of Each Receipt this Period

117.00

P/R Deduction (\$39.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

C. Eric Seasholtz

Mailing Address 671 North Glebe Road

City State Zip Code
 Arlington VA 22203

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Global Asset Mgmt.
Inc.

Occupation
Director, MBS Portfolio

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

273.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR950172716639

Amount of Each Receipt this Period

117.00

P/R Deduction (\$39.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)

465.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 22 / 30

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

| | | |
|---|--|---|
| A. Full Name (Last, First, Middle Initial) Matthew Silk Mailing Address 4500 Bohannon Drive City Menlo Park State CA Zip Code 94025 FEC ID number of contributing federal political committee. C Name of Employer E*Trade Securities LLC Occupation Director, Retail Product Dev Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 234.00 | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR1142911516639 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly) |
| B. Full Name (Last, First, Middle Initial) Robert Simmons Mailing Address 10951 White Rock Road City Rancho Cordova State CA Zip Code 95670 FEC ID number of contributing federal political committee. C Name of Employer E*Trade Financial Corp. Occupation Chief Financial Officer Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1330.00 | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR799169016639 Amount of Each Receipt this Period 570.00 P/R Deduction (\$190.00 Bi-Weekly) |
| C. Full Name (Last, First, Middle Initial) Curtis Smith Mailing Address 1650 Union Hill Road City Alpharetta State GA Zip Code 30005 FEC ID number of contributing federal political committee. C Name of Employer E*Trade Financial Corp. Occupation VP, Infrastructure Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 539.00 | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR540118516639 Amount of Each Receipt this Period 231.00 P/R Deduction (\$77.00 Bi-Weekly) |

SUBTOTAL of Receipts This Page (optional)

879.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 23 / 30

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

Full Name (Last, First, Middle Initial)

A. John Snow

Mailing Address 671 North Glebe Road

City State Zip Code
Arlington VA 22203

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Global Asset Mgmt

Occupation
VP, Consumer Finance

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

539.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR946040616639

Amount of Each Receipt this Period

231.00

P/R Deduction (\$77.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

B. W Chris Staab

Mailing Address 671 North Glebe Road

City State Zip Code
Arlington VA 22203

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Global Asset Mgmt

Occupation
Director, Credit Cards

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

273.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR950173616639

Amount of Each Receipt this Period

117.00

P/R Deduction (\$39.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

C. James Swartwout

Mailing Address 10951 White Rock Road

City State Zip Code
Rancho Cordova CA 95670

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Securities Inc.

Occupation
Director, Trading & Risk Services

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

539.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR540118616639

Amount of Each Receipt this Period

231.00

P/R Deduction (\$77.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)

579.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 24 / 30

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

| | | |
|--|--|---|
| A. Full Name (Last, First, Middle Initial) Lee Thompson Mailing Address 111 Congress Avenue City Austin State TX Zip Code 78701 FEC ID number of contributing federal political committee. C Name of Employer E*TRADE Financial Corp. Occupation Director, Architecture & Exchange Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 273.00 | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR540118816639 Amount of Each Receipt this Period 117.00 P/R Deduction (\$39.00 Bi-Weekly) |
| B. Full Name (Last, First, Middle Initial) Adam Townsend Mailing Address 10951 White Rock Road City Rancho Cordova State CA Zip Code 95670 FEC ID number of contributing federal political committee. C Name of Employer E*TRADE Financial Corp. Occupation VP, Investor Relations Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 539.00 | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR1045600616639 Amount of Each Receipt this Period 231.00 P/R Deduction (\$77.00 Bi-Weekly) |
| C. Full Name (Last, First, Middle Initial) Lance Ullom Mailing Address 671 North Glebe Road City Arlington State VA Zip Code 22203 FEC ID number of contributing federal political committee. C Name of Employer E*TRADE Global Asset Mgmt Occupation VP, ABS & Investment Portfolio Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 539.00 | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR946040716639 Amount of Each Receipt this Period 231.00 P/R Deduction (\$77.00 Bi-Weekly) |
| SUBTOTAL of Receipts This Page (optional) ▶ | | 579.00 |
| TOTAL This Period (last page this line number only) ▶ | | |

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 25 / 30

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

| | | | |
|--|--|--|---|
| A. Full Name (Last, First, Middle Initial) Nicholas Utton Mailing Address 135 E. 57th Street City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. C Name of Employer E*TRADE Financial Corporation Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Occupation Chief Marketing Officer Aggregate Year-to-Date ▼ 1346.10 | | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR1078579116639 Amount of Each Receipt this Period 576.90 P/R Deduction (\$192.30 Bi-Weekly) |
| B. Full Name (Last, First, Middle Initial) Paul Vienick Mailing Address 135 E. 57th Street City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. C Name of Employer E*TRADE Securities LLC Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Occupation Director, U.S. Retail Aggregate Year-to-Date ▼ 539.00 | | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR950173716639 Amount of Each Receipt this Period 231.00 P/R Deduction (\$77.00 Bi-Weekly) |
| C. Full Name (Last, First, Middle Initial) Tara D Wade Mailing Address 1101 Pennsylvania Avenue NW 6th Fl City State Zip Code Washington DC 20004 FEC ID number of contributing federal political committee. C Name of Employer E*TRADE Financial Corp. Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Occupation Director, Federal Government Affairs Aggregate Year-to-Date ▼ 234.00 | | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR540118716639 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly) |

SUBTOTAL of Receipts This Page (optional)

885.90

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 26 / 30

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

| | | |
|--|--|---|
| A. Full Name (Last, First, Middle Initial) Karen Wall Mailing Address 671 North Glebe Road City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. C Name of Employer E*TRADE Bank Occupation Director, Human Resources Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 273.00 | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR1045607616639 Amount of Each Receipt this Period 117.00 P/R Deduction (\$39.00 Bi-Weekly) |
| B. Full Name (Last, First, Middle Initial) Dennis E. Webb Mailing Address 671 North Glebe Road City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. C Name of Employer E*TRADE Global Asset Mgmt, Inc. Occupation EVP, Bank Institutional Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 539.00 | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR799168816639 Amount of Each Receipt this Period 231.00 P/R Deduction (\$77.00 Bi-Weekly) |
| C. Full Name (Last, First, Middle Initial) Christine Wolf Mailing Address 671 North Glebe Road City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. C Name of Employer E*TRADE Financial Corporation Occupation VP, Human Resources Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 539.00 | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR1090244516639 Amount of Each Receipt this Period 231.00 P/R Deduction (\$77.00 Bi-Weekly) |

SUBTOTAL of Receipts This Page (optional)

579.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 27 / 30

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

| | | | |
|---|--|--|--|
| A. Full Name (Last, First, Middle Initial) Shawn P. Yadon | | Date of Receipt <div> <div>M M / D D / Y Y Y Y Y</div> </div> | |
| Mailing Address 10951 White Rock Road | | Transaction ID: PR408627016639 | |
| City State Zip Code Rancho Cordova CA 95670 | | Amount of Each Receipt this Period <div>117.00</div> | |
| FEC ID number of contributing federal political committee. <div>C</div> | | | |
| Name of Employer E*Trade Financial Corp. | | Occupation Director, State Government Affairs | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | | Aggregate Year-to-Date ▼ <div>273.00</div> | |
| B. Full Name (Last, First, Middle Initial) Ariola Yushin-Slaven | | Date of Receipt <div> <div>M M / D D / Y Y Y Y Y</div> </div> | |
| Mailing Address 135 East 57th Street | | Transaction ID: PR1142911416639 | |
| City State Zip Code New York NY 10022 | | Amount of Each Receipt this Period <div>117.00</div> | |
| FEC ID number of contributing federal political committee. <div>C</div> | | | |
| Name of Employer E*Trade Financial Corpora- tion | | Occupation Director, Communications Dev | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | | Aggregate Year-to-Date ▼ <div>273.00</div> | |
| | | P/R Deduction (\$39.00 Bi-Weekly) | |

SUBTOTAL of Receipts This Page (optional)

234.00

TOTAL This Period (last page this line number only)

15961.15

SCHEDULE B (FEC Form 3X) **ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 28 / 30

| | | | | | |
|---|------------------------------|------------------------------|------------------------------|-----------------------------|------------------------------|
| <input checked="" type="checkbox"/> 21b | <input type="checkbox"/> 22 | <input type="checkbox"/> 23 | <input type="checkbox"/> 24 | <input type="checkbox"/> 25 | <input type="checkbox"/> 26 |
| <input type="checkbox"/> 27 | <input type="checkbox"/> 28a | <input type="checkbox"/> 28b | <input type="checkbox"/> 28c | <input type="checkbox"/> 29 | <input type="checkbox"/> 30b |

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

Full Name (Last, First, Middle Initial)

A. Wells Fargo Bank, N.A.

Mailing Address PO Box 63020

City
San Francisco

State
CA

Zip Code
94163

Purpose of Disbursement
Client Analysis Service Charge

Candidate Name

001
Category/
Type

Office Sought: ☐ House
☐ Senate
☐ President

State:

District:

Disbursement For:

☐ Primary ☐ General
☐ Other (specify) ▼

Transaction ID: 12335038

Date of Disbursement

MM / DD / YYYY
03 / 20 / 2006

Amount of Each Disbursement this Period

78.80

Client Analysis Service
Charge

SUBTOTAL of Disbursements This Page (optional)

78.80

TOTAL This Period (last page this line number only)

78.80

SCHEDULE B (FEC Form 3X) **ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 29 / 30

| | | | | | |
|------------------------------|------------------------------|--|------------------------------|-----------------------------|------------------------------|
| <input type="checkbox"/> 21b | <input type="checkbox"/> 22 | <input checked="" type="checkbox"/> 23 | <input type="checkbox"/> 24 | <input type="checkbox"/> 25 | <input type="checkbox"/> 26 |
| <input type="checkbox"/> 27 | <input type="checkbox"/> 28a | <input type="checkbox"/> 28b | <input type="checkbox"/> 28c | <input type="checkbox"/> 29 | <input type="checkbox"/> 30b |

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

Full Name (Last, First, Middle Initial)

A. Bluegrass Committee

Mailing Address 400 North Capitol St., NW
#585

City Washington State DC Zip Code 20001

Purpose of Disbursement
Contribution

Candidate Name

011

Category/
Type

Office Sought: ☐ House
☐ Senate
☐ President

State: District:

Disbursement For:

☐ Primary ☐ General
☐ Other (specify) ▼

Transaction ID: 12335036

Date of Disbursement

03 / 29 / 2006

Amount of Each Disbursement this Period

5000.00

Contribution

Full Name (Last, First, Middle Initial)

B. Democratic Congressional Campaign Committee (DCCC)

Mailing Address 430 South Capitol Street SE
2nd Floor

City Washington State DC Zip Code 20003

Purpose of Disbursement
Contribution

Candidate Name

011

Category/
Type

Office Sought: ☐ House
☐ Senate
☐ President

State: District:

Disbursement For:

☐ Primary ☐ General
☐ Other (specify) ▼

Transaction ID: 12335032

Date of Disbursement

03 / 29 / 2006

Amount of Each Disbursement this Period

5000.00

Contribution

Full Name (Last, First, Middle Initial)

C. Pryce For Congress

Mailing Address 145 E Rich Street

City Columbus State OH Zip Code 43215

Purpose of Disbursement
Contribution

Candidate Name
Congresswoman Deborah Pryce

011

Category/
Type

Office Sought: ☒ House
☐ Senate
☐ President

State: OH District: 15

Disbursement For: 2006

☒ Primary ☐ General
☐ Other (specify) ▼

Transaction ID: 12335030

Date of Disbursement

03 / 29 / 2006

Amount of Each Disbursement this Period

3000.00

Contribution

SUBTOTAL of Disbursements This Page (optional)

13000.00

TOTAL This Period (last page this line number only)

SCHEDULE B (FEC Form 3X) **ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 30 / 30

| | | | | | |
|------------------------------|------------------------------|--|------------------------------|-----------------------------|------------------------------|
| <input type="checkbox"/> 21b | <input type="checkbox"/> 22 | <input checked="" type="checkbox"/> 23 | <input type="checkbox"/> 24 | <input type="checkbox"/> 25 | <input type="checkbox"/> 26 |
| <input type="checkbox"/> 27 | <input type="checkbox"/> 28a | <input type="checkbox"/> 28b | <input type="checkbox"/> 28c | <input type="checkbox"/> 29 | <input type="checkbox"/> 30b |

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

Full Name (Last, First, Middle Initial)

A. Pryce For Congress

Mailing Address 145 E Rich Street

City
Columbus

State
OH

Zip Code
43215

Purpose of Disbursement
Contribution

Candidate Name
Congresswoman Deborah Pryce

011
Category/
Type

Office Sought: ☒ House
☐ Senate
☐ President

Disbursement For: 2006
☐ Primary ☒ General
☐ Other (specify) ▼

State: OH District: 15

Transaction ID: 12335031

Date of Disbursement

/ /

Amount of Each Disbursement this Period

2000.00

Contribution

Full Name (Last, First, Middle Initial)

B. Christopher Shays For Congress Committee

Mailing Address 98 East Avenue Rear Building

City
Norwalk

State
CT

Zip Code
06851

Purpose of Disbursement
Contribution

Candidate Name
Rep. Christopher Shays

011
Category/
Type

Office Sought: ☒ House
☐ Senate
☐ President

Disbursement For: 2006
☒ Primary ☐ General
☐ Other (specify) ▼

State: CT District: 4

Transaction ID: 12334994

Date of Disbursement

/ /

Amount of Each Disbursement this Period

2000.00

Contribution

SUBTOTAL of Disbursements This Page (optional)

4000.00

TOTAL This Period (last page this line number only)

17000.00